

FORM PTO-390

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

PCT/PTO 30 OCT 2001
10/018064

| | | |
|---|---|-----------------------|
| ATTORNEY DOCKET NUMBER IN-12097 | U.S. APPLICATION NO (IF KNOWN SEE 37 RR 15) | |
| INTERNATIONAL APPLICATION NO. | INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED |
| PCT/EP 00/ 03466 | 17.04.00 | 30.04.99 |

PRODUCTION OF POLYURETHANE FOAMS

Andreas ALT; Dieter RODEWALD; Peter HORN; and Stephan BAUER.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
 2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
 3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371 (b) and PCT Articles 22 and 39(1).
 4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date
 5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ are transmitted herewith (required only if not transmittal by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
 6. ☒ A translation of the International Application into English (35 U.S.C. 371(C)(2)).
 7. ☒ Amendment to the claims of the International Application under PCT Article 19 (35 U.S.C.371(c)(3))
 - a. ☒ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made
 8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
 9. ☒ An oath or declaration of the Inventor(s) (35 U.S.C. 371(c)(4)).
 10. ☐ A translation of the annex to the International Preliminary Examination Report under PCT Article 36
- Items 11. to 16. below concern other document(s) or information included:
11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
 12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included
 13. ☒ A FIRST preliminary amendment.
 - ☐ A SECOND or SUBSEQUENT preliminary amendment.
 14. ☐ A substitute specification.
 15. ☐ A Change of power of attorney and/or address letter.
 16. ☒ Other items or information: Postcard

A copy of the cover sheet from the PCT Published Application

Notification of the Recording of a Change (Form PCT/1B/306)

I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label

No.EL 900586188US addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on 10/30/2001

Linda J. Cochran
LINDA J. COCHRAN


| | | | | | |
|--|--------------|-------------------------------|------------|-----------------------------|----------|
| U.S. APPLICATION NO. (If known see 37 C.F.R. 1.53) | | INTERNATIONAL APPLICATION NO. | | ATTORNEY'S DOCKET NUMBER | |
| | | PCT/EP 00/003466 | | IN-12097 | |
| 17. <input checked="" type="checkbox"/> The following fees are submitted | | | | CALCULATIONS PTO USE ONLY | |
| Basic National Fee (37 CFR 1.492(a)(1)-(5)): | | | | | |
| Search Report has been prepared by the EPO or JPO..... | | | | \$890.00 | |
| International preliminary examination fee paid to USPTO (37 CFR 1.482)..... | | | | \$710.00 | |
| No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))..... | | | | \$740.00 | |
| Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... | | | | \$1,040.00 | |
| International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)..... | | | | \$100.00 | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | \$890.00 | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | \$ | |
| Claims | Number Filed | Number Extra | Rate | | |
| Total Claims | 6 - 20 = | 0 | X \$18.00 | \$0.00 | |
| Independent claims | 2 - 03 = | 0 | X \$84.00 | \$0.00 | |
| Multiple dependent claims(s) (if applicable) | | | + \$280.00 | \$0.00 | |
| TOTAL OF ABOVE CALCULATION = | | | | \$890.00 | |
| Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28). | | | | \$ | |
| SUBTOTAL = | | | | \$890.00 | |
| Processing fee of \$130.00 for furnishing the English translation later the <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | \$890.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | \$40.00 | |
| TOTAL FEES ENCLOSED = | | | | \$930.00 | |
| | | | | Amount to be: Refunded | \$ |
| | | | | Charged | \$930.00 |

- a. ☐ A check in the amount of \$_____ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 23-3425 in the amount of \$930.00 to cover the above fees. A triplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-3425. A triplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

BASF CORPORATION
Patent Department
1609 Biddle Avenue
Wyandotte, Michigan 48192
(734) 324-6193


SIGNATURE
Fernando BORREGO
Name
34,780
REGISTRATION NUMBER